TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(5))  Docket No. 15689.61									
In Re Application Of: Yukihiko Okumura et al. 2 3 2004									
- Applic	ation No.	Filing Date	TRANSPORT	Customer No.	Group Art Unit	Confirmation No.			
09/	701,705	December 1, 2000	Unknown	022913	2661	Unknown			
Title:	Title: CHANNEL ESTIMATION DEVICE AND METHOD, DEMODULATION DEVICE AND METHOD, AND								
FADIN	G FREQUE	ENCY DECISION DE	VICE AND METHOD		RECEI\	/ED			
					SEP 2 9 2				
·	Address to:  Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450								
			37 CFR 1.97(b)						
i. Q	1.   The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.								
2.	<ul> <li>The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</li> </ul>								
	☐ the statement specified in 37 CFR 1.97(e);								
			OR						
the fee set forth in 37 CFR 1.17(p).									
in.									

Title: CHANNEL ESTIMATION DEVICE AND METHOD, DEMODULATION DEVI  FADING FREQUENCY DECISION DEVICE AND METHOD  Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 C  A check in the amount of is attached.  The Director is hereby authorized to charge and credit Deposit Account No. 23 as described below.  Charge the amount of Credit any overpayment. Charge any additional fee required.  Payment by credit card. Form PTO-2038 is attached.  WARNING: Information on this form may become public. Credit card information.	<u> </u>							
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<ul> <li>☑ The Director is hereby authorized to charge and credit Deposit Account No. 23 as described below.</li> <li>☐ Charge the amount of</li> <li>☑ Credit any overpayment.</li> <li>☑ Charge any additional fee required.</li> <li>☐ Payment by credit card. Form PTO-2038 is attached.</li> <li>☐ WARNING: Information on this form may become public. Credit card information.</li> </ul>	Technology (CFR 1.17(p))	Center 2600						
Certificate of Transmission by Facsimile*  Certificate of Mailing  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.  I hereby certify that this correspond with the United States Postal as first class mail in a "Commissioner for Patents, Pat	The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.  Charge the amount of Credit any overpayment. Charge any additional fee required.  Payment by credit card. Form PTO-2038 is attached. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail    Lertify that this document and authorization to charge deposite account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.  (Date)    Date   Date							
Typed or Printed Name of Person Signing Certificate  Typed or Printed Name of Person Mailing Certificate								
*This certificate may only be used if paying by deposit account.  Dated: September \$\mathbb{Z}\$2004  Signature  Adrian J. Lee  Reg. No.: 42,785  Attorney for Applicant								



09-24 LOL

2661



PATENT APPLICATION Docket No: 15689.61

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yukihiko OKUMURA et al.

Serial No.:

09/701,705

Art Unit
) 2661

Filing Date: December 1, 2000

For: CHANNEL ESTIMATION DEVICE AND

METHOD, DEMODULATION DEVICE AND

METHOD, AND FADING FREQUENCY DECISION DEVICE AND METHOD

DECISION DEVICE AND METHOD

Customer No.:

022913

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 SEP 2 9 2004

Technology Center 2600

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which



the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

DATED September 23, 2004.

Respectfully submitted,

Adrian J. Lee

Attorney for Applicant

Registration No. 42,785 Customer No. 022913

AJL: ds DS0000002546V001

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CERTIFICATE O	Docket No.				
Applicant(s): Yukihik	o Okumura et al.	I P /	15689.61		
Application No.	Filing Date	2 3 2004 Examiner	Customer No.	Group Art Unit	
09/701,705	December 1, 2000	Unknown	022913	2661	
Invention: CHANNE FADING I	L ESTIMATION DEVICES FREQUENCY DECISION D	RADIVE THOD, DEMODULATION EVICE AND METHOD	DEVICE AND M	ETHOD, AND	
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is being deposited w	rith the United States Postal	Service "Express Mail Post Office	to Addressee" se	ervice under 37	
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	September 23, 2004 (Date)				
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		(Signature of Person Mail	ing Correspondence)		
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Transmittal for Information Disclosure Statement (2 pages; duplicate); Information Disclosure Statement Under 37 C.F.R. § 1.97 (2 pages); Form PTO-1449 Listing of References (1 page); Legible Copy of References; (3 references)
Certificate of Express Mail (EV525475142US); Acknowledgment Postcard



(Use several sheets if necessary)					Docket Number (Optional) 15689.61		Application Number 09/701,705			
					Applicant(s) Yukihiko Okumura et al.					
1 00 23 20th S					Filing Date December 1,		Group Art Unit 2661			
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*EXAMINER	REF	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	SCLASS FILING DATE		
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Form PTO-A820 (also form PTO-1449)

